

Sarda Energy & Minerals Ltd.

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CIN: L27100MH1973PLC016617

Format of holding of specified securities

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

31.12.2020

b.If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities ?		NO
3	Whether the Listed Entity has issued any Warrants ?		NO
4	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5	Whether the Listed Entity has any shares in locked-in?		NO
6	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7	Whether company has equity shares with differential voting rights?		NO
8	Whether Company has any significant beneficial owner?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	19	26134360			26134360	72.50	26134360	0	26134360	72.50							26134360
(B)	Public	18521	9914875			9914875	27.50	9914875	0	9914875	27.50							9641933
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0								0
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	18540	36049235			36049235	100.00	36049235	0	36049235	100.00	0	0					35776293

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	Nos of shareholder (II)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X Equity	Class eg: Y	Total								
(1)	Indian																	
(a)	Individuals/H.U.F	14	6907397			6907397	19.16	6907397	6907397	19.16	0	19.16					6907397	
1	GHANSHYAM RAMKISHORE SARDA		1392378			1392378	3.86	1392378	1392378	3.86	0	3.86					1392378	
2	KAMAL KISHORE SARDA		564518			564518	1.57	564518	564518	1.57	0	1.57					564518	
3	PANKAJ SARDA		691107			691107	1.92	691107	691107	1.92	0	1.92					691107	
4	VEENADEVI GHANSHYAM SARDA		702350			702350	1.95	702350	702350	1.95	0	1.95					702350	
5	UMA SARDA		489425			489425	1.36	489425	489425	1.36	0	1.36					489425	
6	KAMAL KISHORE RATHI		6000			6000	0.02	6000	6000	0.02	0	0.02					6000	
7	ANANT SARDA ANANT		808289			808289	2.24	808289	808289	2.24	0	2.24					808289	
8	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716	21716	0.06	0	0.06					21716	
9	SHASHI RATHI		19467			19467	0.05	19467	19467	0.05	0	0.05					19467	
10	MANISH J SARDA		990618			990618	2.75	990618	990618	2.75	0	2.75					990618	
11	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840	112840	0.31	0	0.31					112840	
12	RAGHAV SARDA		112840			112840	0.31	112840	112840	0.31	0	0.31					112840	
13	NEERAJ JUGALKISHORE SARDA		986717			986717	2.74	986717	986717	2.74	0	2.74					986717	
14	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132	9132	0.03	0	0.03					9132	
(b)	Central/State Government(s)																	
(c)	Financial Institutions/Banks																	
	Name (xyz)																	
(d)	Any Other (Specify)																	
	Name (xyz)																	
(i)	Bodies Corporate	4	18226963			18226963	50.56	18226963	18226963	50.56	0	50.56					18226963	
1	CHHATTISGARH INVESTMENTS LTD		13878760			13878760	38.50	13878760	13878760	38.50	0	38.50					13878760	
2	SARDA AGRICULTURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150	2635150	7.31	0	7.31					2635150	
3	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322	1565322	4.34	0	4.34					1565322	
4	CHHATTISGARH INVESTMENTS LTD (Firm)		147731			147731	0.41	147731	147731	0.41	0	0.41					147731	
(ii)	Trusts	1	1000000			1000000	2.77	1000000	1000000	2.77	0	2.77					1000000	
1	UMA SARDA		1000000			1000000	2.77	1000000	1000000	2.77	0	2.77					1000000	
	Sub- Total (A)(1)	19	26134360			26134360	72.50	26134360	26134360	72.50	0	72.50					26134360	
(2)	Foreign																	
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz)																	
b)	Government																	
	Name (xyz)																	
c)	Institutions																	
	Name (xyz)																	
d)	Foreign Portfolio Investor																	
	Name (xyz)																	
(e)	Any Other (Specify)																	
	Name (xyz)																	
	Sub- Total (A)(2)	0	0			0	0	0	0	0	0						0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	19	26134360			26134360	72.50	26134360	26134360	72.50	0						26134360	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) Non- Institutions																		
(a) Individuals -	I. Individual																	
shareholders holding nominal share capital up to Rs.2 lakhs.	17214	4453636			4453636	12.35	4453636	0	4453636	12.35	0	12.35	0	0				4194519
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.	28	1815468			1815468	5.04	1815468	0	1815468	5.04	0	5.04	0	0				1815468
(b) NBFCs registered with RBI	0	0			0	0.00	0	0	0	0.00	0	0.00	0	0				0
Name (xyz)																		
(c) Employee Trust																		
Name (xyz)																		
(d) Overseas Depositories (holding DRs) (balancing figure)																		
Name (xyz)																		
e) Any Other (pl. specify)																		
i) Directors & Directors Relatives	17	119860			119860	0.33	119860	0	119860	0.33	0	0.33	0	0	0	0	0.00	112019
ii) Bodies Corporate	194	1691663			1691663	4.69	1691663	0	1691663	4.69	0	4.69	0	0	0	0	0	1685779
iii) Individual NRI- Rep.- Non Rep.	348	166552			166552	0.46	166552	0	166552	0.46	0	0.46	0	0	0	0	0	166552
iv) Overseas Corporate Bodies																		
a) Asia Minerals Ltd.	1	684891			684891	1.90	684891	0	684891	1.90	0	1.90	0	0	0	0	0	684891
v) Clearing Member	124	163182			163182	0.45	163182	0	163182	0.45	0	0.45	0	0	0	0	0	163182
vi) Trusts	3	1125			1125	0.00	1125	0	1125	0.00	0	0.00	0	0	0	0	0	1125
vii) Investor Education & Protection Fund	1	174987			174987	0.49	174987	0	174987	0.49	0	0.49	0	0	0	0	0	174987
viii) HUF	582	477465			477465	1.32	477465		477465	1.32	0	1.32	0	0	0	0	0	477465
Sub- Total (B)(3)	18512	9748829			9748829	27.04	9748829	0.00	9748829	27.04	0	27.04	0	0	0	0	0.00	9475987
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	18521	9914875			9914875	27.50	9914875	0	9914875	27.50	0	27.50	0	0	0	0	0	9641933

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No.	As a % of total shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class eg: X	Class eg: Y	Total								
(1)	Custodian/DR Holder		0	0	0	0	0	0	0		0	0	0			NA	0		
(a)	Name of DR Holder (If available)															NA			
(i)	abc.....															NA			
(ii)	efg.....															NA			
(2)	Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)															NA			
(a)	Name (abc.....)															NA			
	Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0		

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,