

Sarda Energy & Minerals Ltd.

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CIN: L27100MH1973PLC016617

Format of holding of specified securities

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

31.12.2019

b.If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities ?		NO
3	Whether the Listed Entity has issued any Warrants ?		NO
4	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5	Whether the Listed Entity has any shares in locked-in?		NO
6	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7	Whether company has equity shares with differential voting rights?		NO
8	Whether Company has any significant beneficial owner?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	18	26134360			26134360	72.50	26134360	0	26134360	72.50						26134360	
(B)	Public	20200	9914875			9914875	27.50	9914875	0	9914875	27.50						9628494	
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0						0	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	20218	36049235			36049235	100.00	36049235	0	36049235	100.00	0	0				35762854	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X Equity	Class eg: Y	Total								
(1)	Indian																	
(a)	Individuals/H.U.F	14	7907397			7907397	21.93	7907397		7907397	21.93	0	21.93					7907397
1	GHANSHYAM RAMKISHORE SARDA		1392378			1392378	3.86	1392378		1392378	3.86	0	3.86					1392378
2	KAMAL KISHORE SARDA		2323667			2323667	6.45	2323667		2323667	6.45	0	6.45					2323667
3	PANKAJ SARDA		691107			691107	1.92	691107		691107	1.92	0	1.92					691107
4	VEENADEVI GHANSHYAM SARDA		702350			702350	1.95	702350		702350	1.95	0	1.95					702350
5	UMA SARDA		489425			489425	1.36	489425		489425	1.36	0	1.36					489425
6	KAMAL KISHORE RATHI		6000			6000	0.02	6000		6000	0.02	0	0.02					6000
7	ANANT SARDA ANANT		49140			49140	0.14	49140		49140	0.14	0	0.14					49140
8	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716		21716	0.06	0	0.06					21716
9	SHASHI RATHI		19467			19467	0.05	19467		19467	0.05	0	0.05					19467
10	MANISH J SARDA		990618			990618	2.75	990618		990618	2.75	0	2.75					990618
11	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840		112840	0.31	0	0.31					112840
12	RAGHAV SARDA		112840			112840	0.31	112840		112840	0.31	0	0.31					112840
13	NEERAJ JUGALKISHORE SARDA		986717			986717	2.74	986717		986717	2.74	0	2.74					986717
14	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132		9132	0.03	0	0.03					9132
(b)	Central/State Government(s)																	
(c)	Financial Institutions/Banks																	
	Name (xyz)																	
(d)	Any Other (Specify)																	
	Name (xyz)																	
(j)	Bodies Corporate	4	18226963			18226963	50.56	18226963		18226963	50.56	0	50.56					18226963
1	CHHATTISGARH INVESTMENTS LTD		13878760			13878760	38.50	13878760		13878760	38.50	0	38.50					13878760
2	SARDA AGRICULRURE & PROP. PVT. LTD		2635150			2635150	7.31	2635150		2635150	7.31	0	7.31					2635150
3	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.34	1565322		1565322	4.34	0	4.34					1565322
4	CHHATTISGARH INVESTMENTS LTD (Firm)		147731			147731	0.41	147731		147731	0.41	0	0.41					147731
	Sub- Total (A)(1)	18	26134360			26134360	72.50	26134360		26134360	72.50	0	72.50					26134360
(2)	Foreign																	
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz)																	
b)	Government																	
	Name (xyz)																	
c)	Institutions																	
	Name (xyz)																	
d)	Foreign Portfolio Investor																	
	Name (xyz)																	
(e)	Any Other (Specify)																	
	Name (xyz)																	
	Sub- Total (A)(2)	0	0			0	0	0		0	0	0	0					0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	18	26134360			26134360	72.50	26134360		26134360	72.50	0	72.50					26134360

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) Non- Institutions																	
(a) Individuals -																	
i. Individual shareholders holding nominal share capital up to Rs.2 lakhs.	19308	5010560		5010560	13.90	5010560	0	5010560	13.90	0	13.90	0	0				4738254
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.	28	1775296		1775296	4.92	1775296	0	1775296	4.92	0	4.92	0	0				1775296
(b) NBFCs registered with RBI	0	0		0	0.00	0	0	0	0.00	0	0.00	0	0				0
Name (xyz)																	
(c) Employee Trust																	
Name (xyz)																	
(d) Overseas Depositories (holding DRs) (balancing figure)																	
Name (xyz)																	
e) Any Other (pl. specify)																	
i) Directors & Directors Relatives	17	119860		119860	0.33	119860	0	119860	0.33	0	0.33	0	0	0	0	0.00	112019
ii) Bodies Corporate	237	1578978		1578978	4.38	1578978	0	1578978	4.38	0	4.38	0	0	0	0	0	1573094
iii) Individual NRI- Rep.- Non Rep.	453	255598		255598	0.71	255598	0	255598	0.71	0	0.71	0	0	0	0	0	255598
iv) Overseas Corporate Bodies																	
a) Asia Minerals Ltd.	1	684891		684891	1.90	684891	0	684891	1.90	0	1.90	0	0	0	0	0	684891
v) Clearing Member	131	262462		262462	0.73	262462	0	262462	0.73	0	0.73	0	0	0	0	0	262462
vi) Trusts	4	3200		3200	0.01	3200	0	3200	0.01	0	0.01	0	0	0	0	0	3200
vii) Investor Education & Protection Fund	1	161906		161906	0.45	161906	0	161906	0.45	0	0.45	0	0	0	0	0	161906
viii) HUF	14	3750		3750	0.01	3750	0	3750	0.01	0	0.01	0	0	0	0	0	3750
Sub- Total (B)(3)	20194	9856501		9856501	27.34	9856501	0.00	9856501	27.34	0	27.34	0	0	0	0	0.00	9570470
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	20200	9914875		9914875	27.50	9914875	0	9914875	27.50	0	27.50	0	0	0	0	0	9628494

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class eg: X	Class eg: Y								
(1) Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (If available)																	
(i) abc.....																	
(ii) efg.....																	
(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a) Name (abc.....																	
Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,