

**Sarda Energy & Minerals Ltd.**

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CIN: L27100MH1973PLC016617

**Format of holding of specified securities**

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)  
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) ( c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

31.12.2016

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		<b>NO</b>
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		<b>NO</b>
3	Whether the Listed Entity has any shares against which depository receipts are issued?		<b>NO</b>
4	Whether the Listed Entity has any shares in locked-in?		<b>NO</b>
5	Whether any shares held by promoters are pledge or otherwise encumbered?		<b>NO</b>

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	21	25648079			25648079	71.20	25648079	0	25648079	71.20						25648079	
(B)	Public	18649	10376048			10376048	28.80	10376048	0	10376048	28.80						9892536	
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0						0	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>Total</b>	<b>18670</b>	<b>36024127</b>			<b>36024127</b>	<b>100.00</b>	<b>36024127</b>	<b>0</b>	<b>36024127</b>	<b>100.00</b>	<b>0</b>	<b>0</b>				<b>35540615</b>	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X Equity	Class eg: Y	Total								
<b>(1)</b>	<b>Indian</b>																	
<b>(a)</b>	<b>Individuals/H.U.F</b>	<b>17</b>	<b>8153428</b>			<b>8153428</b>	<b>22.66</b>	<b>8153428</b>		<b>8153428</b>	<b>22.63</b>						<b>8153428</b>	
1	GHANSHYAM RAMKISHORE SARDA		1392378			1392378	3.87	1392378		1392378	3.87						1392378	
2	KAMAL KISHORE SARDA		1309149			1309149	3.64	1309149		1309149	3.63						1309149	
3	JULGALKISHORE RAMKISHORE SARDA		1172365			1172365	3.26	1172365		1172365	3.25						1172365	
4	SHAKUNTALA SARDA		1014518			1014518	2.82	1014518		1014518	2.82						1014518	
5	PANKAJ SARDA		691107			691107	1.92	691107		691107	1.92						691107	
6	VEENADEVI GHANSHYAM SARDA		702350			702350	1.95	702350		702350	1.95						702350	
7	UMA SARDA		489425			489425	1.36	489425		489425	1.36						489425	
8	PREMLATA JUGALKISHORE SARDA		468910			468910	1.30	468910		468910	1.30						468910	
9	KAMAL KISHORE RATHI		251731			251731	0.70	251731		251731	0.70						251731	
10	ANANT SARDA ANANT		49140			49140	0.14	49140		49140	0.14						49140	
11	JUGAL KISHORE SARDA (HUF)		21716			21716	0.06	21716		21716	0.06						21716	
12	SHASHI RATHI		19767			19767	0.05	19767		19767	0.05						19767	
13	MANISH J SARDA		237570			237570	0.66	237570		237570	0.66						237570	
14	ADITYA GHANSHYAM SARDA		112840			112840	0.31	112840		112840	0.31						112840	
15	RAGHAV SARDA		112840			112840	0.31	112840		112840	0.31						112840	
16	NEERAJ JUGALKISHORE SARDA		98490			98490	0.27	98490		98490	0.27						98490	
17	ADITI GHANSHYAM SARDA		9132			9132	0.03	9132		9132	0.03						9132	
(b)	Central/State Government(s)																	
(c)	Financial Institutions/Banks																	
	Name (xyz ....)																	
(d)	Any Other (Specify)																	
	Name (xyz ....)																	
<b>(j)</b>	<b>Bodies Corporate</b>	<b>4</b>	<b>17494651</b>			<b>17494651</b>	<b>48.63</b>	<b>17494651</b>		<b>17494651</b>	<b>48.56</b>						<b>17494651</b>	
1	CHHATTISGARH INVESTMENTS LTD		12294179			12294179	34.17	12294179		12294179	34.13						12294179	
2	SARDA AGRICULTURE & PROP. PVT. LTD		2635150			2635150	7.32	2635150		2635150	7.31						2635150	
3	PRACHI AGRICULTURE & PROP. PVT. LTD		1565322			1565322	4.35	1565322		1565322	4.35						1565322	
4	CHHATTISGARH INVESTMENTS LTD.		1000000			1000000	2.78	1000000		1000000	2.78						1000000	
	<b>Sub- Total (A)(1)</b>	<b>21</b>	<b>25648079</b>			<b>25648079</b>	<b>71.29</b>	<b>25648079</b>		<b>25648079</b>	<b>71.20</b>						<b>25648079</b>	
<b>(2)</b>	<b>Foreign</b>																	
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																	
	Name (xyz ....)																	
b)	Government																	
	Name (xyz ....)																	
c)	Institutions																	
	Name (xyz ....)																	
d)	Foreign Portfolio Investor																	
	Name (xyz ....)																	
(e)	Any Other (Specify)																	
	Name (xyz ....)																	
	<b>Sub- Total (A)(2)</b>	<b>0</b>	<b>0</b>			<b>0</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>						<b>0</b>	
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>21</b>	<b>25648079</b>			<b>25648079</b>	<b>71.29</b>	<b>25648079</b>		<b>25648079</b>	<b>71.20</b>						<b>25648079</b>	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable)(b)
								Class eg: X	Class eg: Y	Total								
<b>(1) Institutions</b>																		
(a) Mutual Fund/UTI		1	121037			121037	0.34	121037	0	121037	0.34		0	0			121037	
i) ICICI Prudential Dynamic Plan													0	0				
(b) Venture Capital Funds													0	0				
Name (xyz ....)																		
(c) Alternate Investment Funds													0	0				
Name (xyz ....)																		
(d) Foreign Venture Capital Investors													0	0				
Name (xyz ....)																		
(e) Foreign Portfolio Investors		19	769473			769473	2.14	769473		769473	2.14		0	0			769473	
Name (xyz ....)																		
(f) Financial Institutions Banks		6	51923			51923	0.14	51923	0	51923	0.14		0	0			49273	
Name (xyz ....)																		
(g) Insurance Companies																		
(h) Provident Funds/Pension Funds																		
Name (xyz ....)																		
(i) Any Other (specify)																		
1) Foreign Institutional Investors		3	62720			62720	0.17	62720	0	62720	0.17		0	0			62720	
<b>Sub- Total (B)(1)</b>		<b>29</b>	<b>1005153</b>	<b>0</b>	<b>0</b>	<b>1005153</b>	<b>2.79</b>	<b>1005153</b>	<b>0</b>	<b>1005153</b>	<b>2.79</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1002503</b>	
<b>(2) Central Government/State Government(s)/President of India</b>																		
Name (xyz ....)		0	0			0	0						0	0			0	
<b>Sub- Total (B)(2)</b>		<b>0</b>	<b>0</b>			<b>0</b>	<b>0.00</b>						<b>0</b>	<b>0</b>			<b>0</b>	

<b>(3) Non- Institutions</b>																				
(a) <b>Individuals -</b>																				
i.Individual shareholders holding nominal share capital up to Rs.2 lakhs.		17786	4633871		4633871	12.86	4633871	0	4633871	12.86		0	0							4172899
ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.		19	914759		914759	2.54	914759	0	914759	2.54		0	0							914759
(b) NBFCs registered with RBI		3	1250		1250	0.00	1250	0	1250	0.00		0	0							1250
Name (xyz ....)																				
(c) Employee Trust																				
Name (xyz ....)																				
(d) Overseas Depositories (holding DRs) (balancing figure)																				
Name (xyz ....)																				
e) Any Other (pl. specify)																				
i) Directors & Directors Relatives		17	106015		106015	0.29	106015		106015	0.29		0	0							98174
ii) Bodies Corporate		336	1565133		1565133	4.35	1565133	0	1565133	4.34		0	0							1553084
iii) Individual NRI- Rep.- Non Rep.		261	158989		158989	0.44	158989	0	158989	0.44		0	0							158989
iv) Overseas Corporate Bodies																				
a) Asia Minerals Ltd.	AAJCA0097M	1	1804891		1804891	5.02	1804891	0	1804891	5.01		0	0	0	0					1804891
v) Clearing Member		197	185987		185987	0.52	185987	0	185987	0.52		0	0	0	0					185987
<b>Sub- Total (B)(3)</b>		18620	9370895		9370895	26.03	9184908	0.00	9370895	26.01		0	0	0	0.00					8890033
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		<b>18649</b>	<b>10376048</b>		<b>10376048</b>	<b>28.82</b>	<b>10190061</b>	<b>0</b>	<b>10376048</b>	<b>28.80</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>					<b>9892536</b>

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class eg: X	Class eg: Y								
<b>(1) Custodian/DR Holder</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (If available)																	
(i) abc.....																	
(ii) efg.....																	
<b>(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)</b>																	
(a) Name (abc.....																	
<b>Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,