

Sarda Energy & Minerals Ltd.

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CIN: L27100MH1973PLC016617

Format of holding of specified securities

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

31.03.2017

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities ?		NO
3	Whether the Listed Entity has issued any Warrants ?		NO
4	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
5	Whether the Listed Entity has any shares in locked-in?		NO
6	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
7	Whether company has equity shares with differential voting rights?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

8 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	20	25771929			25771929	71.53	25771929	0	25771929	71.53						25771929	
(B)	Public	19233	10258469			10258469	28.47	10258469	0	10258469	28.47						9776777	
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0						0	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	19253	36030398			36030398	100.00	36030398	0	36030398	100.00	0	0	0			35548706	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg: X Equity	Class eg: Y	Total								
(1)	Indian																		
(a)	Individuals/H.U.F		17	7907697			7907697	21.95	7907697		7907697	21.95							7907697
1	GHANSHYAM RAMKISHORE SARDA			1392378			1392378	3.86	1392378		1392378	3.86							1392378
2	KAMAL KISHORE SARDA			1309149			1309149	3.63	1309149		1309149	3.63							1309149
3	JULGALKISHORE RAMKISHORE SARDA			1172365			1172365	3.25	1172365		1172365	3.25							1172365
4	SHAKUNTALA SARDA			1014518			1014518	2.82	1014518		1014518	2.82							1014518
5	PANKAJ SARDA			691107			691107	1.92	691107		691107	1.92							691107
6	VEENADEVI GHANSHYAM SARDA			702350			702350	1.95	702350		702350	1.95							702350
7	UMA SARDA			489425			489425	1.36	489425		489425	1.36							489425
8	PREMLATA JUGALKISHORE SARDA			468910			468910	1.30	468910		468910	1.30							468910
9	KAMAL KISHORE RATHI			6000			6000	0.02	6000		6000	0.02							6000
10	ANANT SARDA ANANT			49140			49140	0.14	49140		49140	0.14							49140
11	JUGAL KISHORE SARDA (HUF)			21716			21716	0.06	21716		21716	0.06							21716
12	SHASHI RATHI			19767			19767	0.05	19767		19767	0.05							19767
13	MANISH J SARDA			237570			237570	0.66	237570		237570	0.66							237570
14	ADITYA GHANSHYAM SARDA			112840			112840	0.31	112840		112840	0.31							112840
15	RAGHAV SARDA			112840			112840	0.31	112840		112840	0.31							112840
16	NEERAJ JUGALKISHORE SARDA			98490			98490	0.27	98490		98490	0.27							98490
17	ADITI GHANSHYAM SARDA			9132			9132	0.03	9132		9132	0.03							9132
(b)	Central/State Government(s)																		
(c)	Financial Institutions/Banks																		
	Name (xyz)																		
(d)	Any Other (Specify)																		
	Name (xyz)																		
(j)	Bodies Corporate		3	17864232			17864232	49.58	17864232		17864232	49.58							17864232
1	CHHATTISGARH INVESTMENTS LTD			13663760			13663760	37.92	13663760		13663760	37.92							13663760
2	SARDA AGRICULTURE & PROP. PVT. LTD			2635150			2635150	7.31	2635150		2635150	7.31							2635150
3	PRACHI AGRICULTURE & PROP. PVT. LTD			1565322			1565322	4.34	1565322		1565322	4.34							1565322
	Sub- Total (A)(1)		20	25771929			25771929	71.53	25771929		25771929	71.53							25771929
(2)	Foreign																		
a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
	Name (xyz)																		
b)	Government																		
	Name (xyz)																		
c)	Institutions																		
	Name (xyz)																		
d)	Foreign Portfolio Investor																		
	Name (xyz)																		
(e)	Any Other (Specify)																		
	Name (xyz)																		
	Sub- Total (A)(2)		0	0			0	0	0		0	0							0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		20	25771929			25771929	71.53	25771929		25771929	71.53							25771929

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable)(b)
								Class eg: X	Class eg: Y	Total								
(1) Institutions																		
(a) Mutual Fund/UTI		2	121687			121687	0.34	121687	0	121687	0.34		0	0				121037
i) ICICI Prudential Dynamic Plan													0	0				
(b) Venture Capital Funds													0	0				
Name (xyz)																		
(c) Alternate Investment Funds													0	0				
Name (xyz)																		
(d) Foreign Venture Capital Investors													0	0				
Name (xyz)																		
(e) Foreign Portfolio Investors		19	769473			769473	2.14	769473		769473	2.14		0	0				769473
Name (xyz)																		
(f) Financial Institutions Banks		8	54079			54079	0.15	54079	0	54079	0.15		0	0				51479
Name (xyz)																		
(g) Insurance Companies		1	250			250	0.00	250	0	250	0.00		0	0				0
(h) Provident Funds/Pension Funds																		
Name (xyz)																		
(i) Any Other (specify)																		
1) Foreign Institutional Investors		4	92720			92720	0.26	92720	0	92720	0.26		0	0				92720
Sub- Total (B)(1)		34	1038209	0	0	1038209	2.88	1038209	0	1038209	2.88	0	0	0	0	0	0	1034709
(2) Central Government/State Government(s)/President of India																		
Name (xyz)		0	0			0	0						0	0				0
Sub- Total (B)(2)		0	0			0	0.00						0	0				0

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class eg: X	Class eg: Y								
(1) Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (If available)																	
(i) abc.....																	
(ii) efg.....																	
(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a) Name (abc.....																	
Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,