

Annexure "I" to the Directors' Report

FORM NO. MGT-9

EXTRACT OF ANNUAL RETURN

as on the financial year ended 31.03.2020

[Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. Registration and other details

CIN	L27100MH1973PLC016617
Registration Date	23.06.1973
Name of the Company	Sarda Energy & Minerals Limited
Category / Sub-Category of the Company	Public company / Limited by shares
Address of the Registered Office and contact details	73-A, Central Avenue, Nagpur (M.H.) 440018 Tel: +91-712-2722407
Whether listed company	Yes
Name, address and contact details of Registrar and Transfer Agent, if any	Bigshare Services Private Limited 1st Floor, Bharat Tin Works Building Opp. Vasant Oasis, Makwana Road Marol, Andheri East, Mumbai - 400059, Maharashtra Tel: +91-22-6263 8200, Fax: +91-22-6263 8299 E-mail : investor@bigshareonline.com

II. Principal Business Activities of the Company

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated :

Sl. No.	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
1	Sponge Iron/Ferro Alloys/Billet/Wire-rod/ Iron ore Pellet	241 - Manufacture of basic iron and steel	97.20%

III. Particulars of Holding, Subsidiary and Associate Companies

Name and address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
Sarda Energy & Minerals Hongkong Limited 5th Floor, Dah Sing Life Bldg., 99-105 Des Voeux Road, Central, Hongkong	NA	Subsidiary	100.00%	2(87)(ii)
Sarda Global Venture Pte. Limited 9, Raffles Place, #57-00, Republic Plaza Singapore - 048619	NA	Subsidiary	100.00%	2(87)(ii)
Sarda Global Trading DMCC Unit No.1241, DMCC Business Centre, Level No.1, Jewellery & Gemplex 3, Dubai, UAE	NA	Subsidiary	100.00%	2(87)(ii)
Sarda Metals and Alloys Limited 125-B Wing, Mittal Court, Nariman Point Mumbai	U51420MH2008PLC187689	Subsidiary	100.00%	2(87)(ii)
Sarda Energy Limited Vanijya Bhawan, 1st Floor, Sai Nagar Devendra Nagar Road, Raipur	U40102CT2008PLC000406	Subsidiary	100.00%	2(87)(ii)

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Name and address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
Madhya Bharat Power Corporation Limited E-585, Ground Floor, Greater Kailash - II, New Delhi	U74899DL1994PLC061349	Subsidiary	73.84%	2(87)(ii)
Parvatiya Power Limited 801 Gandhi Chowk, Ranikhet, Almora UR	U40101UP1994PLC017151	Subsidiary	51.00%	2(87)(ii)
Sarda Hydro Power LLP HIG Duplex House No. -2A Moulshri Vihar Purena Raipur	AAO-4263	Subsidiary	60.00%	2(87)(ii)
Natural Resources Energy Private Limited Vanijya Bhawan, 1st Floor, Sai Nagar Devendra Nagar Road, Raipur	U40101CT2007PTC020163	Subsidiary	71.55%	2(87)(ii)
Chhattisgarh Hydro Power LLP House No.A/02, VIP Road, Moul Shree Vihar Raipur	AAA-2277	Subsidiary	72.00%	2(87)(ii)
Shri Ram Electricity LLP Vanijya Bhawan, 1st Floor, Sai Nagar Devendra Nagar Road, Raipur	AAA-2517	Subsidiary	51.00%	2(87)(ii)
Raipur Infrastructure Company Limited Vanijya Bhawan, Sai Nagar Devendra Nagar Road, Raipur	U45203CT2004PLC016321	Associate	33.33%	2(6)
Madanpur South Coal Company Limited Vanijya Bhawan, Sai Nagar Devendra Nagar Road, Raipur	U10300CT2006PLC020006	Associate	20.13%	2(6)

IV. Shareholding Pattern (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Shareholding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual / HUF	79,07,397	0	79,07,397	21.93	79,07,397	0	79,07,397	21.93	0.00
b) Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c) State Govt.(s)	0	0	0	0.00	0	0	0	0.00	0.00
d) Bodies Corporate	1,80,79,232	0	1,80,79,232	50.15	1,80,79,232	0	1,80,79,232	50.15	0.00
e) Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00
f) Any Other....	0	0	0	0.00	0	0	0	0.00	0.00
f i) Firm	1,47,731	0	1,47,731	0.41	1,47,731	0	1,47,731	0.41	0.00
Sub-Total (A)(1) :	2,61,34,360	0	2,61,34,360	72.50	2,61,34,360	0	2,61,34,360	72.50	0.00
(2) Foreign									
a) NRIs - Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other - Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks / FI	0	0	0	0.00	0	0	0	0.00	0.00

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Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
e) Any Other....	0	0	0	0.00	0	0	0	0.00	0.00
Sub-Total (A)(2):	0	0	0	0.00	0	0	0	0.00	0.00
Total Shareholding of Promoters (A) = (A)(1)+(A)(2)	2,61,34,360	0	2,61,34,360	72.50	2,61,34,360	0	2,61,34,360	72.50	0.00
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds / UTI	0	0	0	0.00	24,666	0	24,666	0.07	0.07
b) Banks / FI	19,515	2,500	22,015	0.06	28,857	100	28,957	0.08	0.02
c) Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
d) State Govt.(s)	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies		250	250	0.00	0	250	250	0.00	0.00
g) FIs	0	0	0	0.00	0	0	0	0.00	0.00
h) Foreign Portfolio Investors	7,87,587	0	7,87,587	2.18	67,719	0	67,719	0.19	(2.00)
i) Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
Sub-Total (B)(1):	8,07,102	2,750	8,09,852	2.25	1,21,242	350	1,21,592	0.34	(1.91)
(2) Non-Institutions									
a) Bodies Corporate									
i) Indian	15,58,170	9,949	15,68,119	4.35	14,73,537	5,884	14,79,421	4.10	(0.25)
ii) Overseas	6,84,891	0	6,84,891	1.90	6,84,891	0	6,84,891	1.90	0.00
b) Individuals									
i) Individual Share holders holding nominal share capital upto ₹ 1 lakh	37,19,452	2,96,459	40,15,911	11.14	40,44,863	2,72,056	43,16,919	11.98	0.83
Individual Share holders holding nominal share capital in excess of ₹ 1 lakh	15,41,552	0	15,41,552	4.28	20,50,625	0	20,50,625	5.69	1.41
c) Others (specify)									
c i) NRIs	2,05,309	0	2,05,309	0.57	2,81,628	0	2,81,628	0.78	0.21
c ii) Directors & Relatives	1,12,019	7,841	1,19,860	0.33	1,12,019	7,841	1,19,860	0.33	0.00
c iii) NBFC's regd. with RBI	311	0	311	0.00	0	0	0	0.00	(0.00)
c iv) Clearing Member	4,06,813	0	4,06,813	1.13	2,28,064	0	2,28,064	0.63	(0.50)
c v) Trusts	1,700	0	1,700	0.00	2,200	0	2,200	0.01	0.01
c vi) IEPF	1,41,528	0	1,41,528	0.39	1,61,906	0	1,61,906	0.45	0.06

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Category of Shareholders	No. of Shares held at the beginning of the year				No. of shares held at the end of the year				% Change
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
c) vii) HUFs	4,19,029	0	4,19,029	1.16	4,67,769	0	4,67,769	1.30	0.14
Sub-Total (B)(2):	87,90,774	3,14,249	91,05,023	25.25	95,07,502	2,85,781	97,93,283	27.17	1.91
Total Public Share holding (B)=(B)(1)+(B)(2)	95,97,876	3,16,999	99,14,875	27.50	96,28,744	2,86,131	99,14,875	27.50	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
Grand Total (A+B+C)	3,57,32,236	3,16,999	3,60,49,235	100.00	3,57,63,104	2,86,131	3,60,49,235	100.00	0.00

ii) Shareholding of Promoters

Sl. No.	Shareholders Name	Shareholding as on 01.04.2019			Shareholding at on 31.03.2020			% change
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	
1	Chhatisgarh Investments Limited	1,38,78,760	38.499	0	1,38,78,760	38.499	0	0.000
2	Sarda Agriculture & Properties Private Limited	26,35,150	7.310	0	26,35,150	7.310	0	0.000
3	Prachi Agriculture & Properties Private limited	15,65,322	4.342	0	15,65,322	4.342	0	0.000
4	G.D. Sarda	13,92,378	3.862	0	13,92,378	3.862	0	0.000
5	Kamal Kishore Sarda*	13,09,149	3.632	0	23,23,667	6.446	0	2.814
6	J.K. Sarda^	11,72,365	3.252	0	-	-	0	(3.252)
7	Shakuntala Sarda*	10,14,518	2.814	0	-	-	0	(2.814)
8	Chhatisgarh Investments Limited (Firm)	1,47,731	0.410	0	1,47,731	0.410	0	0.000
9	Veena Sarda	7,02,350	1.948	0	7,02,350	1.948	0	0.000
10	Pankaj Sarda	6,91,107	1.917	0	6,91,107	1.917	0	0.000
11	Premlata Sarda^	4,68,910	1.301	0	-	-	0	(1.301)
12	Uma Sarda	4,89,425	1.358	0	4,89,425	1.358	0	0.000
13	Dr. K.K. Rathi	6,000	0.017	0	6,000	0.017	0	0.000
14	Manish Sarda^	2,37,570	0.659	0	9,90,618	2.748	0	2.089
15	Aditya Sarda	1,12,840	0.313	0	1,12,840	0.313	0	0.000
16	Raghav Sarda	1,12,840	0.313	0	1,12,840	0.313	0	0.000
17	Neeraj Sarda^	98,490	0.273	0	9,86,717	2.737	0	2.464
18	Anant Sarda	49,140	0.136	0	49,140	0.136	0	0.000
19	J.K. Sarda (HUF)	21,716	0.060	0	21,716	0.060	0	0.000
20	Shashi Rathi	19,467	0.054	0	19,467	0.054	0	0.000
21	Aditi Sarda	9,132	0.025	0	9,132	0.025	0	0.000
	Total	2,61,34,360	72.496	0	2,61,34,360	72.496	0	0.000

* Transmission of shares from Shakuntala Sarda to Kamal Kishore Sarda

^ Transmission of shares from J.K. Sarda and Premlata Sarda to Manish Sarda and Neeraj Sarda

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iii) Change in Promoters' Shareholding (Please specify, if there is no change)

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
1	Chhatisgarh Investments Limited	At the beginning of the year	1,38,78,760	38.50					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	1,38,78,760	38.50					
2	Sarda Agriculture & Properties Private Limited	At the beginning of the year	26,35,150	7.31					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	26,35,150	7.31					
3	Prachi Agriculture & Properties Private Limited	At the beginning of the year	15,65,322	4.34					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	15,65,322	4.34					
4	Ghanshyam Sarda	At the beginning of the year	13,92,378	3.86					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	13,92,378	3.86					
5	Kamal Kishore Sarda	At the beginning of the year	13,09,149	3.63					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			21.06.2019	Transmission*	10, 14,518	23,23,667	6.45
		At the end of the year	23,23,667	6.45					

* Transmission of shares from Shakuntala Sarda

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Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
6	J. K. Sarda	At the beginning of the year	11,72,365	3.63					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			31.05.2019	Transmission [^]	(5,37,350)	6,35,015	1.76
					31.05.2019	Transmission [^]	(6,35,015)	-	0.00
		At the end of the year	-	-					
[^] Transmission of shares to Manish Sarda and Neeraj Sarda									
7	Shakuntala Sarda	At the beginning of the year	10,14,518	2.81					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			21.06.2019	Transmission*	(10,14,518)	-	-
		At the end of the year	-	--					
* Transmission of shares to Kamal Kishore Sarda									
8	Chhatisgarh Investments Limited (Firm)	At the beginning of the year	1,47,731	0.41					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	1,47,731	0.41					
9	Veena Sarda	At the beginning of the year	7,02,350	1.95					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	7,02,350	1.95					
10	Pankaj Sarda	At the beginning of the year	6,91,107	1.92					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	6,91,107	1.92					

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Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
11	Premlata Sarda	At the beginning of the year	4,68,910	1.30					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			10.05.2019	Transmission [^]	(2,15,698)	2,53,212	0.70
					10.05.2019	Transmission [^]	(2,53,212)	-	0.00
		At the end of the year	-	-					
[^] Transmission of shares to Manish Sarda and Neeraj Sarda									
12	Uma Sarda	At the beginning of the year	4,89,425	1.36					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	4,89,425	1.36					
13	Dr. K.K. Rathi	At the beginning of the year	6,000	0.02					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	6,000	0.02					
14	Manish Sarda	At the beginning of the year	2,37,570	0.66					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			10.05.2019	Transmission [^]	2,15,698	4,53,268	1.26
					31.05.2019	Transmission [^]	5,37,350	9,90,618	2.75
		At the end of the year	9,90,618	2.75					
[^] Transmission of shares from J.K. Sarda and Premlata Sarda									
15	Aditya Sarda	At the beginning of the year	1,12,840	0.31					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	1,12,840	0.31					

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Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
16	Raghav Sarda	At the beginning of the year	1,12,840	0.31					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	1,12,840	0.31					
17	Neeraj Sarda	At the beginning of the year	98,490	0.27					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			10.05.2019	Transmission [^]	2,53,212	3,51,702	0.98
					31.05.2019	Transmission [^]	6,35,015	9,86,717	2.74
		At the end of the year	9,86,717	2.74					
[^] Transmission of shares from J.K. Sarda and Premlata Sarda									
18	Anant Sarda	At the beginning of the year	49,140	0.14					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	49,140	0.14					
19	J.K. Sarda (HUF)	At the beginning of the year	21,716	0.06					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	21,716	0.06					
20	Shashi Rathi	At the beginning of the year	19,467	0.05					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	19,467	0.05					
21	Aditi Sarda	At the beginning of the year	9,132	0.03					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NIL		NIL	
		At the end of the year	9,132	0.03					

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iv) Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
1	Asia Minerals Limited	At the beginning of the year	6,84,891	1.90					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			NIL			NIL	
		At the end of the year	6,84,891	1.90					
2	Fortune Couriers Limited	At the beginning of the year	2,73,026	0.76					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			21.06.2019	Purchase	8,037	2,81,063	0.78
					28.06.2019	Purchase	1,013	2,82,076	0.78
					09.08.2019	Sell	(5,000)	2,77,076	0.77
					30.08.2019	Sell	(4,000)	2,73,076	0.76
					14.09.2019	Sell	(5,000)	2,68,076	0.74
					18.10.2019	Sell	(5,000)	2,63,076	0.73
					08.11.2019	Sell	(5,000)	2,58,076	0.72
					20.12.2019	Sell	(10,660)	2,47,416	0.69
					06.03.2020	Purchase	5,000	2,52,416	0.70
					13.03.2020	Sell	(15,500)	2,36,916	0.66
			20.03.2020	Purchase	2,400	2,39,316	0.66		
			27.03.2020	Purchase	28,100	2,67,416	0.74		
		At the end of the year	2,67,416	0.74					
3	Niraj Jalan	At the beginning of the year	1,60,000	0.44					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			13.09.2019	Purchase	92,520	2,52,520	0.70
		At the end of the year	2,52,520	0.70					
4	Parwati Devi Jalan	At the beginning of the year	1,81,613	0.50					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			13.09.2019	Purchase	65,000	2,46,613	0.68
		At the end of the year	2,46,613	0.68					

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Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year		
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares	
5	Pankaj Jalan	At the beginning of the year	1,85,000	0.51						
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):				14.09.2019	Purchase	39,922	2,24,922	0.62
						27.09.2019	Purchase	52	2,24,974	0.62
						30.09.2019	Purchase	1,646	2,26,620	0.63
						11.10.2019	Sale	(82)	2,26,538	0.63
						18.10.2019	Purchase	473	2,27,011	0.63
					25.10.2019	Purchase	8,174	2,35,185	0.65	
	At the end of the year	2,35,185	0.65							
6	Mahima Stocks Private Limited	At the beginning of the year	2,00,000	0.55						
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):								
		At the end of the year	2,00,000	0.55						
7	Sanatan Financial Advisory Services Private Limited	At the beginning of the year	-	-						
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			24.05.2019	Purchase	1,92,500	1,92,500	0.53	
					26.07.2019	Purchase	7,500	2,00,000	0.55	
					02.08.2019	Sale	(7,000)	1,93,000	0.54	
	At the end of the year	1,93,000	0.54							
8	Pratibha Endeavor Private Limited	At the beginning of the year	1,73,861	0.48						
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):								
		At the end of the year	1,73,861	0.48						
9	Ajay Natwarlal Vora	At the beginning of the year	1,41,000	0.39						
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):								
		At the end of the year	1,41,000	0.39						

Annexure "I" to the Directors' Report

Sl. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
10	Bhagwati Prasad Jalan	At the beginning of the year	75,000	0.21					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			13.09.2019	Purchase	37,500	1,12,500	0.31
		At the end of the year	1,12,500	0.31					
11	Acadian Emerging Markets Small Cap Equity Fund LLC@	At the beginning of the year	2,16,895	0.60					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			19.07.2019	Sell	(2,230)	2,14,665	0.60
					26.07.2019	Sell	(4,109)	2,10,556	0.58
					02.08.2019	Sell	(7,460)	2,03,096	0.56
					23.08.2019	Sell	(5,107)	1,97,989	0.55
					30.08.2019	Sell	(1,97,989)	-	0.00
					17.01.2020	Purchase	1,033	1,033	0.00
					07.02.2020	Purchase	2,679	3,712	0.01
			14.02.2020	Purchase	8,984	12,696	0.04		
		At the end of the year	12,696	0.04					
12	The Board of Regents of the University of Texas System Acadian Asset Management@	At the beginning of the year	1,74,203	0.48					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			13.09.2019	Sale	(84,822)	89,381	0.25
					20.09.2019	Sale	(48,112)	41,269	0.11
					27.09.2019	Sale	(41,269)	-	0.00
					07.02.2020	Purchase	7,561	7,561	0.02
		At the end of the year	7,561	0.02					
13	Pace Stock Broking Services Private Limited@	At the beginning of the year	2,00,310	0.56					
		Datewise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/bonus/sweat equity etc):			05.04.2019	Sale	(50)	2,00,260	0.56
					26.04.2019	Purchase	100	2,00,360	0.56
					03.05.2019	Sale	(45)	2,00,315	0.56
					10.05.2019	Sale	(5)	2,00,310	0.56
					17.05.2019	Purchase	40	2,00,350	0.56
					24.05.2019	Sale	(1,92,590)	7,760	0.02
					31.05.2019	Sale	(60)	7,700	0.02
					12.07.2019	Purchase	100	7,800	0.02
					26.07.2019	Sale	(7,500)	300	0.00
					02.08.2019	Purchase	7,000	7,300	0.02
					23.08.2019	Purchase	409	7,709	0.02
					30.08.2019	Sale	(459)	7,250	0.02
					20.09.2019	Purchase	567	7,817	0.02
					27.09.2019	Sale	(567)	7,250	0.02
					04.10.2019	Purchase	125	7,375	0.02
			11.10.2019	Sale	(125)	7,250	0.02		
			18.10.2019	Sale	(200)	7,050	0.02		
			31.01.2020	Purchase	25	7,075	0.02		
			07.02.2020	Sale	(25)	7,050	0.02		
		At the end of the year	7,050	0.02					

@ Among Top 10 as on 31.03.2019

Annexure "I" to the Directors' Report

v) Shareholding of Directors and Key Managerial Personnel

S. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
1	Kamal Kishore Sarda	At the beginning of the year	13,09,149	3.63					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):			21.06.2019	Transmission*	10,14,518	23,23,667	6.45
		At the end of the year	23,23,667	6.45					
* Transmission of shares from Shakuntala Sarda									
2	Pankaj Sarda	At the beginning of the year	6,91,107	1.92					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	6,91,107	1.92					
3	Uma Sarda	At the beginning of the year	4,89,425	1.36					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	4,89,425	1.36					
4	C.K. Lakshminarayanan	At the beginning of the year	8,000	0.02					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	8,000	0.02					
5	A.K. Basu	At the beginning of the year	4,000	0.01					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	4,000	0.01					

Annexure "I" to the Directors' Report

S. No.	Name		Shareholding (Beginning of the year)		Transactions during the year			Cumulative shareholding during the year	
			No. of Shares	% of total shares	Date	Reason	No. of shares	Cumulative	% of total shares
6	G.S. Sahni	At the beginning of the year	3,500	0.01					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	3,500	0.01					
7	J. Balakrishnan	At the beginning of the year	1,667	0.00					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	1,667	0.00					
8	Rakesh Mehra	At the beginning of the year	10,537	0.03					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	10,537	0.03					
9	P.K. Jain (WTD & CFO)	At the beginning of the year	10,073	0.03					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	10,073	0.03					
10	Manish Sethi (CS)	At the beginning of the year	1,680	0.00					
		Datewise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/bonus/ sweat equity etc):				NA		NA	
		At the end of the year	1,680	0.00					

Annexure "I" to the Directors' Report

V. Indebtedness

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(₹ in crore)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	241.90	53.00	-	294.90
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	0.33	-	-	0.33
Total (i+ii+iii)	242.23	53.00	-	295.23
Change in Indebtedness during the financial year				
Addition	202.59	-	-	202.59
Reduction	(53.89)	(53.00)	-	(106.89)
Net Change	148.70	(53.00)	-	95.70
Indebtedness at the end of the financial year				
i) Principal Amount	390.73	-	-	390.73
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	0.20	-	-	0.20
Total (i+ii+iii)	390.93	-	-	390.93

VI. Remuneration of Directors and Key Managerial Personnel

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Name of MD/ WTD/ Manager			Total Amount
		Mr. K. K. Sarda	Mr. Pankaj Sarda	Mr. P. K. Jain (WTD & CFO)	
1	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	152.64	70.94	54.76	278.34
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	11.90	0.29	0.29	12.48
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	-	-	-	-
2	Stock Options	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission				
	a) as % of profit	225.00	125.00	-	350.00
	b) others, specify	-	-	-	-
5	Others, please specify (PF/Superannuation)	18.78	5.46	4.24	28.48
	Total (A)	408.32	201.69	59.29	669.30
	Ceiling as per the Act	10% of the net profits of the Company.			

Annexure "I" to the Directors' Report

B. Remuneration to other Directors :

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Name of Director						Total
		Mrs. Uma Sarda	Mr. A.K. Basu	Mr. C.K. Lakshminarayanan	Mr. G.S. Sahni	Mr. J. Balakrishnan	Mr. Rakesh Mehra	
1.	Independent Directors:							
a)	Fee for attending board / committee meetings	-	2.10	1.70	1.50	2.20	1.20	8.70
b)	Commission	-	3.00	3.00	3.00	3.00	3.00	15.00
c)	Others, please specify (Stock option)	-	-	-	-	-	-	-
	Total (1)	-	5.10	4.70	4.50	5.20	4.20	23.70
2.	Other Non Executive Directors							
a)	Fee for attending board committee meetings	1.20	-	-	-	-	-	1.20
b)	Commission	-	-	-	-	-	-	-
c)	Others, please specify	-	-	-	-	-	-	-
	Total (2)	1.20	-	-	-	-	-	1.20
	Total (B) = (1+2)	1.20	5.10	4.70	4.50	5.20	4.20	24.90
	Total Managerial Remuneration [^]							694.20
	Overall Ceiling as per the Act	11% of the net profits of the Company						

[^] Total remuneration to Managing Director, Whole-Time Directors and other Directors (being the total of A and B).

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD :

(₹ in lakh)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel [§]	Total
		Company Secretary	
1	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	13.47	13.47
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	0.00	0.00
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	0.00	0.00
2	Stock Options	0.00	0.00
3	Sweat Equity	0.00	0.00
4	Commission		
	a) as % of profit	0.00	0.00
	b) others, specify....	0.00	0.00
5	Others, please specify (PF/Superannuation)	0.52	0.52
	Total	13.99	13.99

[§] Details of remuneration of CFO - Mr. P.K. Jain appears along with the details of remuneration of Wholetime Directors as he also is a Whole time Director

Annexure "I" to the Directors' Report

VII. Penalties / Punishment / Compounding of Offences :

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty			NIL		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					